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|---|---|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. 200825US2                           |   |
|   | First Inventor or Application Identifier Hirobumi NISHI |   |
|   | Title   | A METHOD AND APPARATUS FOR COLOR IMAGE PROCESSING, AND A COMPUTER PRODUCT |
| Assignee Name: RICOH COMPANY, LIMITED   |   |   |
| Assignee Address: 3-6, Nakamagome 1-chome, Ohta-ku, TOKYO 143-8555 JAPAN  |   |   |

|  |  |
|--|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small><br>Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |
| 1. <input checked="" type="checkbox"/> Specification Total Sheets <b>51</b><br>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>12 (Formals)</b><br>4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br>(for continuation / divisional w/ box 16 completed)<br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in<br>the prior application, see 37 C.F.R. §1.63(d)(2) and<br>1.33(b).<br>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer<br>Program (Appendix)<br>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification or Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies | <b>ACCOMPANYING APPLICATION PARTS</b><br>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76<br>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS<br>Citations (11)<br>12. <input type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard<br>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (1)<br>(if foreign priority is claimed)<br>15. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27<br>16. <input checked="" type="checkbox"/> Other: Notice of Priority, List of Inventor's Name<br>and Address, Statement of Relevancy |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application no.:

Prior application information: Examiner:    Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
 of application Serial No.    Filed on

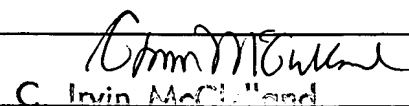
☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No.    Filed

**18. CORRESPONDENCE ADDRESS**

  
**22850**  
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|            |   |                   |          |
|------------|---|-------------------|----------|
| Name:      | Marvin J. Spivak  | Registration No.: | 24,913   |
| Signature: |  | Date:             | 12/13/00 |
| Name:      | Registration Number 21,124  | Registration No.: |          |

Docket No. 200825US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hirobumi NISHIDA

SERIAL NO: New Application

FILING DATE: Herewith

FOR: A METHOD AND APPARATUS FOR COLOR IMAGE PROCESSING, AND A COMPUTER PRODUCT

FEE TRANSMITTAL

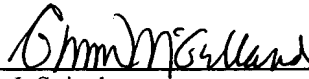
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR  | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS   | 34 - 20 =       | 14              | × \$18 =  | \$252.00     |
| INDEPENDENT CLAIMS   | 4 - 3 =         | 1               | × \$80 =  | \$80.00      |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |                 | + \$270 = | \$0.00       |
| <input checked="" type="checkbox"/> LATE FILING OF DECLARATION       |                 |                 | + \$130 = | \$130.00     |
| BASIC FEE  |                 |                 |           | \$710.00     |
| TOTAL OF ABOVE CALCULATIONS  |                 |                 |           | \$1,172.00   |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |                 |                 |           | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE              |                 |                 | + \$130 = | \$0.00       |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT                   |                 |                 | + \$40 =  | \$0.00       |
| TOTAL  |                 |                 |           | \$1,172.00   |

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$1,172.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak

Registration No. 24,913

C. Irvin McClelland  
Registration Number 21,124

Date: 12/13/00



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Tel. (703) 413-3000  
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(OSMMN 10/00)

IN RE APPLICATION OF: Hirobumi NISHIDA

FOR: A METHOD AND APPARATUS FOR COLOR IMAGE PROCESSING, AND A COMPUTER PRODUCT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Listed below is the name and address of the inventor for the above-identified patent application.

Tokyo, Japan

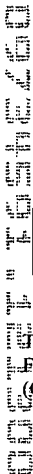
A declaration containing all the necessary information will be submitted at a later date.

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Chmn McGlland

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C. Irvin McCall  
Registration Number 21,124



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hirobumi NISHIDA

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: Herewith

FOR: A METHOD AND APPARATUS FOR COLOR IMAGE PROCESSING, AND A COMPUTER PRODUCT

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

| <u>COUNTRY</u> | <u>APPLICATION NUMBER</u> | <u>MONTH/DAY/YEAR</u> |
|----------------|---------------------------|-----------------------|
| Japan          | 11-354412                 | December 14, 1999     |

Certified copies of the corresponding Convention Application(s)

- ☒ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - ☐ are submitted herewith
  - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

C. Ivin McGee

Registration Number 21,124



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